

1 **Uranus Pathfinder: Exploring the Origins and Evolution** 2 **of Ice Giant Planets**

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163 **Abstract**

164 The “Ice Giants” Uranus and Neptune are a different class of planet compared to Jupiter and Saturn.
165 Studying these objects is important for furthering our understanding of the formation and evolution of the
166 planets, and unravelling the fundamental physical and chemical processes in the Solar System. The
167 importance of filling these gaps in our knowledge of the Solar System is particularly acute when trying to
168 apply our understanding to the numerous planetary systems that have been discovered around other stars.
169 UP thus represents the quintessential aspects of the objectives of the European planetary community as
170 expressed in ESA’s Cosmic Vision 2015-2025. The Uranus Pathfinder (UP) mission was proposed to the
171 European Space Agency’s M3 call for medium-class missions in 2010 and proposed to be the first orbiter of
172 an Ice Giant planet. As the most accessible Ice Giant within the M-class mission envelope Uranus was
173 identified as the mission target. Although not selected for this call the UP mission concept provides a
174 baseline framework for the exploration of Uranus with existing low-cost platforms and underlines the need to
175 develop power sources suitable for the outer Solar System. The UP science case is based around exploring
176 the origins, evolution, and processes at work in Ice Giant planetary systems. Three broad themes were
177 identified: (1) Uranus as an Ice Giant, (2) An Ice Giant planetary system, (3) An asymmetric magnetosphere.
178 Due to the long interplanetary transfer from Earth to Uranus a significant cruise phase science theme was
179 also developed. The UP mission concept calls for the use of a Mars Express/Rosetta-type platform to launch
180 on a Soyuz-Fregat in 2021 and entering into an eccentric polar orbit around Uranus in the 2036-2037
181 timeframe. The science payload has a strong heritage in Europe and beyond and requires no significant
182 technology developments.

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185

186 **1. Introduction**

187 The canonical architecture of the Solar System often groups the Gas Giant planets, Jupiter and Saturn,
188 together with the Ice Giants, Uranus and Neptune, and refers to them as the giant planets. However, the
189 importance of volatile materials such as methane (known as ices) in the interiors and atmospheres of Uranus
190 and Neptune, the highly asymmetric configuration of their magnetic fields, and their different internal
191 structure (amongst other things) clearly distinguish the Ice Giants as a very different class of planet. In order
192 to unravel the origin and evolution of the Solar System one must understand all of its components. In this
193 regard Uranus and Neptune are enigmatic objects with very poorly constrained interiors, magnetic fields,
194 atmospheres, ring and satellite systems and magnetospheres, among just a few of the intriguing aspects of
195 these systems. The importance of filling these gaps in our knowledge of the Solar System is particularly
196 acute when trying to apply our understanding to the numerous planetary systems that have been discovered
197 around other stars.

198

199 Uranus occupies a unique place in the history of the Solar System and the fundamental processes occurring
200 within the uranian system confirm that its scientific exploration is essential in meeting ESA’s Cosmic Vision
201 goals (see section 2, particularly 2.4 and table 2). Table 1 illustrates the key properties of the uranian
202 system. Uranus Pathfinder (UP) was proposed to the European Space Agency’s Cosmic Vision 2015-2025

203 call for medium “M” class missions in 2010. The mission concept called for the first orbiter of an Ice Giant
 204 and would open a new window on the origin and evolution of the Solar System, and the fundamental
 205 physical processes at work at giant planets. UP thus embodies the quintessential aspects of ESA’s Cosmic
 206 Vision 2015-2025 providing important information on the origin and evolution of Uranus as the archetypal Ice
 207 Giant representing the missing link between our Solar System and planets around other stars.

208

209 The importance of an Ice Giant mission was highlighted in the 2011 NRC Planetary Science Decadal Survey
 210 (Squyres et al. 2011) where it was noted “A mission combining an orbiter and a probe will revolutionize our
 211 understanding of ice giant properties and processes, yielding significant insight into their evolutionary
 212 history”. Although Neptune and its large satellite Triton are very interesting Solar System targets, Squyres et
 213 al. (2011) note that risks associated with aerocapture at Neptune, the lack of optimal launch windows for
 214 Neptune over the coming decade, and long transfer times render a Uranus mission more attractive in the
 215 2013-2023 time frame. The science priorities for a Uranus orbiter described by Squyres et al. (2011) are
 216 similar to those for Uranus Pathfinder thus demonstrating considerable international consensus regarding
 217 the science goals and scientific return for such an orbiter. A mission to Uranus was rated as important in the
 218 previous decadal survey.

219

220

Equatorial radius	25 559 km (=1 R _U)
Mass	14.5 M _E (1 M _E =5.97×10 ²⁴ kg)
Sidereal spin period	17h12m36s (±72 s)
Obliquity	97.77°
Semi-major axis	19.2 AU
Orbital period	84.3 Earth years
Dipole moment	3.75×10 ²⁴ A m ²
Magnetic field strength (in uranographic equator)	Max: 1.0×10 ⁵ Min: 7.7×10 ³ nT
Dipole tilt	-59°
Dipole offset	0.31 R _U (southward)
Natural satellites	27 (9 irregular)

221 *Table 1: Physical and orbital parameters of Uranus.*

222

223

224 The scientific goals of UP are centred on three key scientific themes: (1) Uranus as an Ice Giant; (2) Uranus
 225 and its environment: An Ice Giant planetary system; (3) An distinctively asymmetric magnetosphere. Due to
 226 the long transfer time from Earth to Uranus, the UP mission concept also calls for a significant cruise phase
 227 science programme involving flybys of small Solar System objects and answering fundamental questions
 228 about the transport of mass, energy and momentum from the Sun out into the heliosphere. In addressing
 229 these four themes (three prime science plus cruise phase) UP directly addresses two of the Cosmic Vision
 230 2015-2025 themes “What are the conditions for Planet Formation and the Emergence of Life?” and “How
 231 Does the Solar System Work?”.

232

233 The UP mission concept is novel in attempting to explore such a distant Solar System target within the M
234 class cost cap of 470M€ (FY 2010). The nominal UP mission involves a launch on a Soyuz-Fregat launch
235 vehicle in 2021 with a \approx 15-year cruise before entering into a highly elliptical science orbit around Uranus. To
236 reduce cruise phase costs UP would be placed into a quasi-hibernation mode, similar to Rosetta and New
237 Horizons, and would make solar wind measurements en route to Uranus. UP would periodically come out of
238 hibernation to downlink solar wind science data and spacecraft telemetry to Earth. The science payload has
239 strong heritage within Europe and beyond and takes advantage of the latest in low-mass science
240 instrumentation. With current technology a solely solar-powered mission to Uranus is prohibitively expensive
241 and challenging so as part of the mission concept development we investigated radioisotope power sources
242 (RPSs). The UP proposal shows that significant scientific missions can be carried out using RPSs that
243 employ isotopes other than ^{238}Pu . The baseline RPS devices are based around ^{241}Am which, as a waste
244 product from the nuclear reactors, is readily available within Europe.

245

246 The mission has significant community support within Europe and world-wide as reflected by (i) the 169
247 scientists across the world (105 in Europe) lending their support to the mission; (ii) the key planetary
248 objectives specified by numerous Uranus-related white paper submissions to NASA's Planetary and
249 Heliophysical Decadal Surveys; and (iii) NASA's formal recognition of the relevance of Uranus Pathfinder for
250 addressing key planetary science goals. Perhaps unsurprisingly, the level of community support is highest
251 among early- and mid-career scientists. More details on the UP mission concept and community can be
252 found at <http://www.mssl.ucl.ac.uk/planetary/missions/uranus/>.

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254

255

256 **2. Scientific objectives**

257 The overarching theme for UP is the exploration of the origin and evolution and evolution of and processes
258 at work in Ice Giant planetary systems. Uranus is the centre of one of the Solar System's most interesting
259 planetary systems and UP will study the fundamental processes at work on the planet itself (its interior and
260 atmosphere) and in its planetary environment (magnetosphere, satellites and rings). The mission will provide
261 observations and measurements that are vital for understanding the origin and evolution of Uranus as an Ice
262 Giant planet, providing a missing link between our Solar System and planets around other stars. UP thus
263 represents the quintessential aspects of the objectives of the European planetary community as expressed
264 in ESA's Cosmic Vision 2015-2025.

265

266 Giant planets account for more than 99% of the mass of the Sun's planetary system, and helped to shape
267 the conditions we see in the Solar System today. The number of Uranus-sized extrasolar planets discovered
268 to date, weighted by the likelihood of observing them, indicates that such planets are common in the
269 Universe. The Ice Giants are fundamentally different from the Gas Giants (Jupiter and Saturn) in a number of
270 ways and yet our exploration of the Ice Giants in our own Solar System remains very incomplete, with a
271 significant number of fundamental questions unanswered. The earliest possible date for the arrival of a new
272 spacecraft mission at Uranus (not necessarily UP) leaves a >40 year gap since the flyby of Voyager 2 in
273 1986 and underlines the urgent need for new measurements. UP will provide new insights into the formation,

274 bulk composition, and evolution of Uranus-mass objects in our Solar System and beyond. The
275 measurements of atmospheric composition, structure and dynamics by UP will be of enormous value for
276 interpreting telescopic observations of many exoplanets. Understanding the magnetosphere and radio
277 emissions of Uranus will also be of immense value in understanding exoplanet magnetospheres. Figure 1
278 illustrates the rich variety of science goals for the UP. This illustration is drawn from the perspective of the
279 Sun during the Voyage 2 encounter and highlights one of the unique aspects of Uranus: it's large 98°
280 obliquity.

281

282 The prime scientific goals for UP are built upon three themes: (1) Uranus as an Ice Giant; (2) An Ice Giant
283 planetary system; (3) An asymmetric magnetosphere. To focus this mission description on the prime science
284 phase, the fourth theme consisting of cruise phase science will not be discussed here.

285

286

287 **2.1. Uranus as an Ice Giant**

288 The bulk composition and internal structure of the Ice Giants reflect their different formation environments
289 and evolutionary processes relative to the Gas Giants (e.g. Guillot 2005) providing a window into the early
290 Solar System. Jupiter is an H/He planet with an ice and rock mass fraction of 4-12% as inferred from
291 standard interior models (Saumon and Guillot 2004). Uranus and Neptune seem to consist mostly of “ices”
292 (H₂O, NH₃, CH₄) and rocks, with smaller envelopes of H₂ and He, but current observations are only able to
293 provide an upper limit of 85% on the ice and rock mass fraction (Fortney and Nettelmann 2010).

294

295 The composition of Uranus contains clues to the conditions in the protosolar cloud and the locations in which
296 the planet formed. For instance, a sub-solar C:O ratio could indicate formation at a distance where water (but
297 not CH₄) was frozen. The common picture of gaseous planet formation by first forming a 10 M_E core (1
298 M_E=5.97×10²⁴ kg) and then accreting a gaseous envelope is challenged by state-of-the-art interior models,
299 which instead predict rock core masses below 5 M_E (Saumon and Guillot, 2004; Fortney and Nettelmann,
300 2010). Uranus' obliquity and low heat loss may point to a catastrophic event and provides additional
301 important constraints for planetary system formation theories.

302

303 The composition of the uranian atmosphere from remote sensing and/or *in situ* probing (elemental
304 enrichments, isotopic ratios and noble gases) can be extrapolated to provide important clues about the bulk
305 composition of the deep interior, and provides a window onto conditions in the solar nebula during the era of
306 planetary formation. UP will reveal the fundamental processes that shape the formation, evolution, dynamic
307 circulation and chemistry of Ice Giant atmospheres. There is currently no interior model for Uranus that
308 agrees with all the observations, representing a significant gap in our understanding of the Solar System
309 (see Fig. 2a for one such model). To develop improved models of Uranus' interior better compositional data
310 must be obtained (Helled et al. 2010). Understanding the internal structure of Uranus (the nearest Ice Giant)
311 is essential for estimating the bulk composition of the outer planets, in particular their ice-to-rock ratio.

312

313 Planets interiors are initially warm and cool down as they age. Gravitational energy from material accretion is
314 converted to intrinsic, thermal energy during formation and is steadily radiated away through their

315 atmospheres. Thermal evolution models probe the energy reservoir of a planet by predicting its intrinsic
316 luminosity. Such models reproduce the observed luminosity of Jupiter and Neptune after 4.56 Ga of cooling,
317 independent of detailed assumptions about their atmosphere, albedo, and solar irradiation. The same
318 models, however underestimate Saturn's luminosity and overestimate it for Uranus (Fortney et al, in press).
319 Indeed, Uranus' is so cold and its intrinsic luminosity is so low that, according to standard thermal evolution
320 theory, Uranus should be more than 3 billion years older than it is (where the observational uncertainty in
321 luminosity accounts for about 2 billion years). The intrinsic luminosity of Uranus (Pearl et al. 1990) also has
322 implications for understanding planetary dynamos and magnetic field generation. The unusual, but poorly
323 constrained (Holme and Bloxham, 1996), configuration of Uranus' intrinsic magnetic field (see Fig. 2b)
324 suggests some fundamental difference between the dynamos of Uranus and Neptune and those of the other
325 planets (Stanley and Bloxham, 2004, 2006). The field is also expected to have undergone secular change
326 since the Voyager 2 epoch (Christensen and Tilgner, 2004).

327

328 The small envelopes of H₂-He and substantial enrichment of heavy elements in the Ice Giants, the cold
329 atmospheric temperatures relative to the Gas Giants (Jupiter and Saturn), and the extreme obliquity yield
330 unique physicochemical conditions that cannot be found elsewhere in the Solar System. Uranus therefore
331 provides an extreme test of our understanding of many aspects of planetary atmospheres, including:
332 dynamics, energy and material transport, seasonally varying chemistry and cloud microphysics, and
333 structure and vertical coupling throughout giant planet atmospheres. Uranus' weather layer (the troposphere
334 and lower stratosphere) can be studied via infrared, sub-millimetre and microwave remote sensing (see Fig.
335 3) to reveal the atmospheric temperature structure, gaseous composition and distribution of cloud opacity.
336 These parameters can be used to trace the dynamics, circulation and chemistry of the weather layer, both in
337 terms of small-scale convective events (storms, plumes and vortices, like the discrete activity in Fig. 3 and
338 planetary-scale circulation. Unlike the gas giants, Uranus exhibits a strong westward jet at its equator and
339 seasonally variable circumpolar collars. Vertical sounding in the troposphere and stratosphere, as well as
340 cloud tracking and the monitoring of dynamical tracers (e.g., hydrocarbons, condensable volatiles,
341 disequilibrium species and microwave opacity sources) are essential to explain the stark differences in
342 energy and material transport on gas and ice giants. Finally, the spatiotemporal mapping of stratospheric
343 hydrocarbons and oxygenated species would reveal (a) the rich variety of photochemical pathways at work
344 at 19.2 AU, and (b) the sources and variability of exogenic materials (from meteoritic bombardment or other
345 impact processes) to understand the connection between an ice giant atmosphere and its immediate
346 planetary environment (theme 2). Methane is the prime condensable which forms clouds in the upper
347 troposphere (near the 1 bar level) while a number of hydrocarbons (e.g., acetylene and ethane) can form
348 hazes in the stratosphere (<100 mbar level). Although it is not understood why the methane clouds are
349 sparse and thin when methane comprises ~15% of the atmospheric mass.

350

351 On Jupiter and Saturn, two end-point scenarios have been suggested as the forcing mechanism for the jets:
352 (1) deep internal convection driven by internal head flux, and (2) shallow turbulence in the surface "weather"
353 layer driven by thunderstorms and solar heating (see review in Vasavada and Showman 2007). The
354 observed low internal heat flux from Uranus and low occurrence of atmospheric turbulence raises questions
355 about the contributions from both of these mechanisms. However, under the influence of strong rotation,
356 turbulence has been shown to generate and maintain jetstreams by, for example, Showman (2007) and

357 Sayanagi et al. (2008), i.e., large-scale turbulence acts in pumping the jets rather than dissipating them.
358 Thus, the apparent lack of turbulence in Uranus' atmosphere argues for a comparative study against the fully
359 turbulent atmospheres of Jupiter and Saturn. Uranus Pathfinder's high-resolution atmospheric imaging
360 campaign will seek the turbulent processes that force the wind system. Great dark spots have recently been
361 observed on Uranus (Hammel et al. 2008) and turbulence in the form of small-scale eddies may also be
362 involved in their formation, however, a complete theory is not yet available. Observations of Uranus'
363 atmosphere is crucial for understanding the energy and momentum cycle that powers jetstreams and large
364 vortices in Ice Giant atmospheres.

365
366 On the other hand, the temperature in Uranus' upper atmosphere (thermosphere and ionosphere) is several
367 hundred degrees hotter than can be explained by solar heating. Moreover, this temperature is strongly
368 correlated with season such that the upper atmosphere is more than 200 K hotter at solstice than at equinox.
369 Since the southern hemisphere was almost continually illuminated at solstice, the influence of the Sun must
370 have a strong part to play in explaining the considerable temperature excess beyond the heating that the
371 Sun can provide directly. The thermosphere and ionosphere form a crucial transition region between
372 interplanetary space and the planet itself. Powerful currents, generated by electric fields imposed by the
373 magnetosphere of magnetised planets, may result in large energy inputs to the upper atmosphere due to
374 Joule heating and ion drag. The energy from these sources may be tens to hundreds of times greater than
375 that due to the absorption of solar extreme ultraviolet radiation. It seems likely that a key component of the
376 required additional heating is driven by particle precipitation and/or the way in which varying magnetospheric
377 configurations couple with the upper atmosphere to produce time-variable fields and currents. A similar
378 excess temperature is also found in the saturnian and jovian upper atmospheres. Thus, this "energy crisis" is
379 a fundamental problem in our general understanding of the workings of giant planet upper atmospheres. A
380 mission to Uranus' unusually asymmetric magnetosphere provides an opportunity to understand how
381 insolation and particle precipitation from the solar wind and magnetosphere contribute to the energy balance
382 in the upper atmosphere.

383

384

385 **2.2. An Ice Giant Planetary System**

386 Uranus has a rich planetary system of both dusty and dense narrow rings and regular and irregular natural
387 satellites. This unique example of a planetary system holds important information to help us unravel the
388 origin and evolution of the Solar System. Ground-based observations have found changes in the rings and
389 satellites since the Voyager 2 flyby indicating fundamental instabilities in the coupled ring-moon system
390 (Showalter and Lissauer, 2006) of clear importance for understanding the evolution of planetary systems.
391 Study of the moons and rings of Uranus, in particular their composition and dynamical stability, the internal
392 and subsurface structure of the moons, and the geological history of the moons (and how that relates to their
393 formation) is important for understanding how the Solar System formed and evolved. The possibility that
394 Uranus' irregular satellites are captured Centaurs or comets would also contribute to understanding small
395 Solar System bodies and may provide lessons for our understanding of the origin of life in the Solar System,
396 particularly since objects exposed to the solar wind are subjected to very different space weathering
397 processes than those protected from the solar wind within Uranus' magnetosphere.

398

399 **2.2.1. Ring system**

400 The composition of the ring system provides significant constraints on planetary evolution models.
 401 Unfortunately, Voyager could not detect them in the infrared (the important wavelength range for ring
 402 composition) and so the composition of the rings is essentially unknown. The particle-size distribution of
 403 Uranus' main rings was studied from Voyager 2 radio occultations but detected a surprising lack of
 404 centimeter-size particles (French et al. 1991). High spatial resolution imaging of the narrow rings is needed
 405 to unravel the dynamics of their confinement and to confirm theories of self-maintenance and of shepherding
 406 by moons, which are relevant to other disk systems including protoplanetary disks (e.g., Elliot and Nicholson
 407 1984; French et al. 1991; Duncan and Lissauer 1997; Showalter and Lissauer 2006). The dusty rings also
 408 present challenges for existing theories (Murray and Thompson 1990). Voyager's single high-phase image of
 409 the rings revealed a plethora of otherwise unknown dust structures (Fig. 4). Since the Voyager encounter in
 410 1986, large-scale changes have been discovered in these rings, such as the apparent "displacement" (or
 411 disappearance and creation of) the innermost Zeta ring (de Pater et al. 2007). Of particular interest is the
 412 newly discovered mu ring at $\sim 4 R_U$, which appears to be as blue as Saturn's E ring (Showalter and Lissauer,
 413 2006; de Pater et al, 2006). More details of the structure of the rings and a first understanding of their
 414 evolution would be immensely valuable. Also of interest are the rings' interactions with Uranus' extended
 415 exosphere and their accretion/disruption interplay with the nearby retinue of small moons (Duncan and
 416 Lissauer 1997; de Pater et al. 2006; Showalter and Lissauer 2006; Showalter et al. 2008).
 417

418 **2.2.2. Natural satellites**

419 Uranus' five largest moons (Miranda, Ariel, Umbriel, Titania, Oberon – see Fig. 5) are comparable in size to
 420 the medium-sized moons of Saturn, although their mean densities ($\approx 1500 \text{ kg m}^{-3}$, on average) are higher.
 421 The moons also have similar orbital configurations to those at Saturn, but Uranus' large obliquity results in
 422 significantly different insolation patterns, with one pole directed towards the sun during solstice. The
 423 observations performed during the flyby of Voyager 2 revealed signs of endogenic resurfacing, particularly
 424 on Miranda and Ariel, associated with tectonic systems and possibly involving cryovolcanic processes. As in
 425 the jovian and saturnian systems, tidal and magnetospheric interactions are likely to have played a key role
 426 in the evolution of the uranian satellite system. For instance, intense tidal heating during sporadic passages
 427 through orbital resonances is expected to have induced internal melting in some of the icy moons (Tittlemore
 428 and Wisdom 1990; Tittlemore 1990). One such tidally-induced melting event may have triggered the
 429 geological activity that led to the late resurfacing of Ariel. The two largest moons, Titania and Oberon have
 430 diameters exceeding 1500 km and past melting events may have left liquid water oceans beneath their outer
 431 ice shells (e.g. Hussmann et al., 2006). The strongly inclined magnetic dipole moment of Uranus with respect
 432 to its spin axis generates time-variable fields near the moons at their synodic rotation periods. These fields
 433 will produce induction magnetic fields, which are diagnostic of the moons interior, in particularly with respect
 434 to the possible salty liquid sub-surface oceans on Titania and Oberon (Saur et al., 2010).
 435

436 As the main natural satellites in the system, these five moons are assumed to be locked in the Cassini State
 437 1, consisting of the spin-orbit 1:1 resonance and an equilibrium obliquity. Departures from this Cassini State

438 would give indications on the internal structure of the satellites, as proposed by Peale et al. (2002) for
439 Mercury. Moreover, a measure of their rotation frequency could reveal an internal ocean, as it is the case for
440 Titan (Lorenz et al. 2008) and Europa (Geissler et al. 1998). In the case of Miranda, a signature of the recent
441 disruption of an orbital resonance forcing its inclination (Tittlemore and Wisdom 1989) could be seen in its
442 obliquity.

443

444 Understanding the geologic evolution and tectonic processes of the five major satellites of Uranus suffers
445 from incomplete Voyager imaging. Coverage was restricted to the southern hemispheres and the medium to
446 low image resolutions (order of several kilometres per pixel, except for part of Miranda) only allow a limited
447 characterisation of the major geologic units in the areas imaged by Voyager (e.g., Croft and Soderblom,
448 1991). The crater size-frequency distributions of the five satellites, used as a tool for age-dating of surface
449 features and for assessing projectile populations and origins thereof, are known only for the southern
450 hemispheres and at crater sizes larger than a few kilometres (e.g. Plescia, 1987). The bulk composition of
451 the moons are fundamentally important in constraining the origin of these bodies, although large
452 uncertainties still exist on these parameters (e.g. Hussmann et al., 2006). The diversity of the medium-sized
453 icy satellites at in the uranian system demonstrates the complex and varied histories experienced by this
454 class of object.

455

456 UP will reveal the nearly-unexplored uranian satellites by observing their Northern Hemispheres for the first
457 time and by constructing extensive, multi-wavelength maps of the moons and rings that were not possible
458 with Voyager 2.

459

460

461 **2.3. The Asymmetric Magnetosphere**

462 The configuration of each planetary magnetosphere in the Solar System is determined by the relative
463 orientations of the planet's spin axis, its magnetic dipole axis and the solar wind flow. In the general case,
464 the angle between the magnetic dipole axis and the solar wind flow is a time-dependent quantity and varies
465 on both diurnal and seasonal timescales. Uranus presents a particularly special and poorly-understood case
466 because this angle not only varies seasonally but because of Uranus' large obliquity the extent of diurnal
467 oscillation varies with season. At solstice this angle does not vary much with time and Uranus' magnetic
468 dipole simply rotates around the solar wind flow vector. This magnetospheric configuration is not found
469 anywhere else in the Solar System. These significant asymmetries produce large-scale diurnal
470 reconfigurations of the system on timescales of hours resulting in a twisted magnetotail topology (Tóth et al.,
471 2004). The near alignment of the rotation axis with the planet-Sun line during solstice means that plasma
472 motions produced by the rotation of the planet and by the solar wind are effectively decoupled (Vasyliūnas
473 1986). Therefore, in contrast to Jupiter and Saturn, solar wind plasma may be able to penetrate deep within
474 the magnetosphere despite the planet being a fast oblique rotator.

475

476 Because of this unique extreme orientation, Uranus' magnetosphere varies from a pole-on to orthogonal
477 configuration during a uranian year (84 Earth years) and changes from an "open" to a "closed" configuration
478 during a uranian day. Such a rapidly reconfiguring magnetosphere with a highly asymmetric internal

479 magnetic field at its core provides a challenge for current theories of how magnetospheres work. The UP
480 mission, on-orbit for many months will bring new insights into understanding universal magnetospheric
481 processes. Uranus also presents a special case because of its distant location in the heliosphere where the
482 properties of the solar wind are very different from the other planets we've explored in detail. This provides
483 opportunities to investigate fundamental processes such as magnetic reconnection and collisionless shocks
484 under different parameter regimes and to extend our understanding of space weather.

485

486 These aspects make a study of Uranus' magnetosphere – particularly close to solstice near the orbit
487 insertion date of UP – a very important objective for understand how the Solar System works. They are not
488 only essential in helping to understand how asymmetric Ice Giant magnetospheres work, but are also highly
489 relevant in providing “ground-truth” for understanding exoplanet magnetospheres. UP will bring crucial
490 constraints and fresh insights into how magnetospheres work and will fill the urgent need for new
491 understanding to place the recent surge of exoplanet observations into context.

492

493 Along with the planetary magnetic field, the ionosphere of Uranus is the internal core of the magnetosphere.
494 Models indicate that Uranus' ionosphere is dominated by H^+ at higher altitudes and H_3^+ lower down (Capone
495 et al. 1977; Chandler and Waite 1986; Majeed et al. 2004), produced by either energetic particle precipitation
496 or solar ultraviolet (UV) radiation. There has only been one spatially resolved observation of the UV aurora of
497 Uranus (Herbert 2009), using a mosaic of Voyager 2 Ultraviolet Spectrograph (UVS) observations which
498 mapped emission from H Lyman- α and the EUV H_2 band (Figure 6, left). The emission appears patchy and
499 is generally centred on the magnetic poles, being the brightest about midnight magnetic local time. There
500 have been subsequent attempts to observe the aurora both in the FUV using the Hubble Space Telescope
501 (Ballester et al., 1998) and in the IR using ground-based telescopes (e.g., Trafton et al., 1999) but any
502 spatially resolvable auroral features remain undetected. Recent analysis of observations of H_3^+ emissions
503 from Uranus spanning almost 20 years (Melin et al. 2011) have revealed a phenomenon that is not seen at
504 the other Gas Giants in our Solar System. As noted earlier, the temperature is strongly correlated with
505 season, e.g., the upper atmosphere is more than 200 K hotter at solstice than at equinox. It seems likely that
506 a key component of the required additional heating is driven by particle precipitation and/or the way in which
507 varying magnetospheric configurations couple with the upper atmosphere.

508

509 Auroral emissions are also generated above the ionosphere at kilometric (radio) wavelengths (1-1000 kHz)
510 (known as Uranus Kilometric Radiation - UKR) which cannot be observed from Earth or by distant observers.
511 Although the UKR emissions from the south pole are more intense than those from the north pole, the
512 opposite was found to be true for emission in the H_2 band from the aurora (Herbert and Sandel 1994). As at
513 other planets, UKR is thought to be generated by the Cyclotron Maser Instability (CMI) around the magnetic
514 poles and therefore is a remote marker of planetary rotation. UKR displays a rich variety of components
515 characteristic of Ice Giants (see Fig. 6, right), including unique features such as time-stationary radio sources
516 (e.g. Desch et al. 1991, and references therein, and Zarka 1998).

517

518 Understanding the circumstances under which these radio emissions are generated is of prime importance
519 for using them to the detection of exoplanetary magnetic fields (important for the development and protection
520 of life). Unlike our Solar System, eccentric and complex orbital characteristics appear to be common in other

521 planetary systems, so that the understanding of radio emission produced by Uranus could have profound
 522 importance for interpreting future radio detections of exoplanets (e.g. Zarka et al. 2007).

523
 524

525 **2.4. Summary: The scientific case for Uranus Pathfinder**

526 In summary, there are significant and unexplained differences among Ice Giant, terrestrial, and Gas Giant
 527 planetary systems that point to very different formation and evolutionary histories. With its highly asymmetric
 528 magnetic field, large obliquity, and unusually low amount of emitted internal heat, Uranus is the Ice Giant that
 529 differs most from the other planets and provides several extreme tests of our understanding of planetary
 530 interiors, atmospheres, magnetospheres, rings and satellites. The interior, atmosphere, magnetosphere and
 531 planetary environment will be studied as one three-dimensional, intricately connected system. The response
 532 of Uranus to extremes of seasonal forcing due to its 98° obliquity will provide vital tests of our general
 533 understanding of atmospheres and magnetospheres and how they couple through the ionosphere. The rings
 534 and satellites will provide stark contrasts to those of Jupiter and Saturn enabling the study of a ring system
 535 unlike any other in the Solar System. Such work has important implications for our understanding of
 536 gravitating discs and planet-disc interactions. Furthermore, Uranus is the most accessible Ice Giant at an
 537 average heliocentric distance of 19.2 AU. Table 2 highlights the key science questions for UP and
 538 demonstrates each question’s relevance for our exploration goals as expressed in ESA’s Cosmic Vision
 539 2015-2025.

540
 541

Theme	Science question	Cosmic Vision
Uranus as an Ice Giant planet	What is the internal structure and composition of Uranus?	1.1/1.2/1.3/2.2
	Why does Uranus emit very little heat?	1.1/2.2
	What is the configuration & origin of Uranus’ magnetic field?	1.3/2.1/2.2
	What is the rotation rate of Uranus?	1.1/2.2
	How is Uranus’ weather and composition influenced by season?	2.2
	What processes shape chemistry and cloud formation on an Ice Giant?	2.2
Uranus’ Ice Giant planetary system	What is the composition of the uranian rings?	2.2
	How do dense rings behave dynamically?	2.2
	How do Uranus’ dusty rings work?	2.2
	How do the rings and inner satellites interact?	2.2/2.3
	What is the nature and history of Uranus’ moons?	1.1/2.2/2.3
Uranus’ asymmetric magneto-sphere	What is the overall configuration of the uranian magnetosphere?	1.1/1.3/2.1
	How do the magnetosphere & ionosphere couple to the solar wind?	1.3/2.1
	How are auroral radio emissions generated at Ice Giants?	1.2/2.1
Cruise phase science	How does the outer heliosphere work?	2.1
	What can we learn from in situ observations of Centaurs?	1.3/2.1/2.3

542 *Table 2: The key scientific questions for UP and their relevance for ESA’s Cosmic Vision 2015-2025 goals.*

543
544
545

546 **3. Mission profile**

547 The next stage in the evolution of Ice Giant exploration requires an orbiter to expand on the flyby science
548 carried out by Voyager 2. Uranus Pathfinder proposes to be the first spacecraft to enter orbit around an Ice
549 Giant planet and undertake an orbital tour of an Ice Giant planetary system

550
551

552 **3.1. Launch, interplanetary transfer and orbit requirements**

553 Due to the M-class mission cost cap, launch vehicles for the M-class programme are restricted to Soyuz-
554 Fregat, Rockot KM and Vega, of which only the former has the necessary performance to launch UP with a
555 reasonable transfer duration. Soyuz-Fregat is highly reliable and proven technology and poses a low risk of
556 failure. The baseline spacecraft design described in section 5 is based on the Fregat ST fairing. There are a
557 wide variety of launch opportunities for UP which are entirely compatible with the 2020 – 2022 launch
558 window specified in the ESA M3 call.

559

560 Interplanetary transfers have been studied in detail. The UP interplanetary transfer utilises a sequence of
561 gravity assists as is usual for deep space missions and many routes were identified. These included a
562 variety of Venus, Earth, and Saturn gravity assists for example VVE (Venus-Venus-Earth), VEE, EVVE,
563 VEES (Venus-Earth-Earth-Saturn) or VVEES with a variety of Earth resonance options. Delta-V
564 requirements for a mission to Uranus are not significantly larger than for a mission to Saturn. Mars usually
565 extends the transfer duration and Jupiter will not be in a favourable position over the M3 launch window. UP
566 does not depend critically on any particular solution except for the demands that sufficient injected mass is
567 available for the nominal scientific payload. Chemical propulsion has been assumed for these studies but
568 solar electric propulsion is expected to yield improvements to the transfer time, available Uranus orbits, or
569 available payload mass. All studied transfers assume a launch from Kourou.

570

571 Soyuz-Fregat is restricted to a small range of escape declinations it can efficiently access. In some cases an
572 assumption was made that UP would inject into an equatorial geostationary transfer orbit (GTO). Escape is
573 then achieved by the use of a propulsion module to achieve the required V_{inf} and declination. This propulsion
574 module separates from the remaining spacecraft before further deep space manoeuvres. A generic loss of
575 Δv to cover finite thrust and plane changing has been included in these escape sequences. The injection
576 mass vs. C_3 (characteristic energy) is consistent with ESOC analyses for Mars NEXT and Marco Polo
577 missions.

578

579 Table 3 indicates several selections of interplanetary transfers. The duration of the interplanetary transfer is
580 typically 15 years with a launch in 2021 and provides a spacecraft mass of $>\sim 800$ kg. Figure 7 illustrates one
581 of these solutions.

582

Launch	2021	2021	2021
Uranus Orbit Insertion (UOI)	2037	2036	2037
Transfer duration (years)	15.5	15.0	15.8
Sequence	V-E-E-S	V-V-E-E	E-V-DV-V-E
Transfer margins	5% margin of delta-V 100 m/s delta-V for navigation Loss factor of 20% applied to capture delta-V.		5% fuel margin 100 m/s delta-V for launch dispersion error and navigation. 5% gravity loss.
Orbit			
Periapsis	1.8 R _U (45000 km)		1.1 R _U (28100 km)
Apoapsis	391 R _U (10 ⁷ km)		123 R _U (3.1x10 ⁶ km)
Period	313 days		60 days
Remarks	Stays outside main rings during ring plane crossing Assumes launch to GTO with additional propulsion stage for escape (similar to Marco Polo)		Inside μ ring during ring plane crossing. Direct escape – consistent with Mars Express

584 *Table 3: Summary of the key characteristics of three selected interplanetary transfers for Uranus Pathfinder.*

585

586

587 The orbits provided by the transfers described above are almost polar (similar to the NASA Juno spacecraft
588 at Jupiter) with a periapses less than 2 R_U, apoapses between 123 and 391 R_U, and periods between 60 and
589 313 days. These orbits are quite adequate for the science demands of UP although they complicate the
590 development of an orbital tour for the uranian system. The details of such a satellite tour were not studied as
591 part of the development of the UP concept and are of particular importance for Theme Two of the science
592 case. The study of such a tour is a requirement for the assessment phase. Studies for the NASA Planetary
593 Decadal Survey (Hubbard et al. 2010) have shown that such a tour is possible with a near-polar orbiting
594 spacecraft. Close flybys of at least one of the major moons (preferably Titania or Oberon due to the possible
595 presence of internal oceans) would represent an opportunity for significant advances in studying the origin
596 and evolution of the natural satellites of Ice Giants.

597

598 The ring system of Uranus is poorly understood and presents a significant hazard uncertainty inside 52000
599 km (2.06 R_U). In table 3 we demonstrate an interplanetary transfer which has a periapsis at 28100 km (but a
600 ring plane crossing at 36700 km inside the ζ ring). Such an option would be suitable for UP if more
601 information on the ring system becomes available during the study phase. To improve our knowledge of
602 Uranus' gravity field requires a periapsis inside 1.5 R_U where the spacecraft can be tracked outside of
603 eclipse – inside of 1.1 R_U there is sufficient drag from the atmosphere to degrade the gravity measurements.
604 In principle the periapsis for two of the solutions in table 3 could be reduced later in the mission thus
605 permitting a more expanded programme of gravity science. This might be achieved using moon flybys.

606

607 The relatively low telemetry rates at Uranus' heliocentric distance require an orbital period sufficiently long to
608 allow downlink of science data taken near periapsis. The orbits provided by the interplanetary transfer

609 options in table 3 span a range of reasonable options to satisfy these demands. Longer orbits also restrict
 610 the amount of data that can be taken since the power available from radioactive power sources will diminish
 611 over time, limiting the number of orbits that can be executed. During the assessment phase a trade study will
 612 be conducted to estimate the amount of science data obtained during periapsis as a function of the orbital
 613 period.

614
 615

616 **3.2. Ground segment and mission operations concept**

617 Ground activities during the UP cruise phase must be minimised due to the long interplanetary transfer, with
 618 launch and early operations managed at low cost within ESOC following the model of Rosetta. ESA ground
 619 station usage will be limited to tracking and cruise data downlink every few weeks similar to New Horizons.
 620 The science operations centre (UPSOC) will be established during the six months prior to Uranus orbit
 621 insertion (UOI) to support important upstream observations before orbit insertion.

622

623 Telemetry, tracking and control for UP is based around X- and Ka-band communications to ESA ground
 624 stations. Table 4 shows estimates of telemetry rates and data volumes for UP. These estimates assume a
 625 3.5m high gain antenna (HGA) with 30 W power input and 50% travelling wave tube antenna efficiency (15
 626 W transmitted power). We have calculated the telemetry rates and data volumes possible from two ESA
 627 ground stations in both X and Ka band. The table also shows the figures of merit (antenna gain/noise ratio)
 628 used for each station. In each case these telemetry rates have been subjected to a 20% margin. We obtain
 629 data volumes of between 56 and 230 Mbit per 8 hour downlink. These (X-band) values are consistent with
 630 calculations for Laplace/EJSM/JGO scaled for Earth-Uranus distance and transmitter power. For UP we
 631 have conservatively baselined 75 Mbit per downlink over Ka band. This data volume is sufficient to meet the
 632 science goals set out in section 2.

633
 634

Ground station and band	Figure of merit (dB)	Telemetry rate (kbit/s)	Volume per 8 hour down-link (Mbit)
New Norcia (X)	49.5	2.0	56
Cebreros (X)	50.8	2.7	75
Cebreros (Ka)	55.7	8.3	230

635 *Table 4: Telemetry rates and data volumes per downlink for a variety of ground stations and bands.*

636
 637

638 Figure 8 illustrates the ground segment for UP. The spacecraft will be managed by the mission operations
 639 centre (UPMOC) and will utilise existing ESA technologies for efficient mission management (e.g.,
 640 SCOS2000). The science operations centre (UPSOC) will have responsibility for archiving, provision of
 641 quicklook data, and for providing the interface between the instrument teams and UPMOC. Observing plans
 642 will be developed by the instrument teams and UPSOC and passed to UPMOC for uplink to UP. Observing
 643 plans for each periapsis pass will be developed near apoapsis and uplinked on the inbound leg of each orbit.

644 Mission operations during cruise will be minimised to reduce costs, with UP in a spin-stabilised survey mode
645 monitoring the solar wind.

646

647 Science operations will be managed from an operations centre located at the European Space Operations
648 Centre (ESOC). A system of project scientists, principal investigators, co-investigators, interdisciplinary
649 scientists and working groups will be set up to exploit the huge science return from UP. The UP ground
650 segment emphasises the significant interaction between the UP project and the wider scientific community,
651 including specific community groups such as Europlanet. The data handling pipeline for UP follows the
652 familiar and well-established pipeline for existing ESA missions (e.g., Mars Express, Venus Express,
653 Rosetta, Cluster) and does not require additional development costs. Data will be stored on solid state
654 recorders (SSR) on the spacecraft for regular downlink and will be processed by UPMOC and provided to
655 UPSOC who will generate level 0 and quicklook data products, the former of which will be archived in ESA's
656 Planetary Science Archive (PSA) and NASA's Planetary Data System (PDS). The quicklook data products
657 will be served by a "quick look" service UPQL similar to the successful CSDS service implemented for the
658 ESA Cluster mission. This will provide quick look access to raw imaging and time-series data to facilitate
659 efficiently achieving the UP science goals. Level 0 data will be further calibrated and reduced by instrument
660 teams who will provide higher level data products for archiving within PSA, PDS and other national data
661 centres as appropriate. These higher level products will be provided a year after their receipt on the ground.
662 A Data Archive Working Group and Archive Scientist will oversee this process. The data rights policy for UP
663 is in compliance with established ESA rules concerning information and data rights and release policy.
664 Instrument teams will have a proprietary six month period in which they can exploit their datasets after which
665 the data will be placed in the public domain in PSA and PDS.

666

667

668 **3.3. Support from ground-based observations**

669 Since Voyager 2 visited Uranus, scientists have relied on remote sensing observations from the ultraviolet
670 through the microwave to constrain models of Uranus' atmosphere, rings and satellite system. These
671 observations have been acquired by space-based observatories (Hubble, Spitzer, Herschel, ISO, etc.) and
672 ground-based facilities (Keck, Gemini, VLT, IRTF and the VLA). In some cases these provide crucial
673 information that could not be obtained from any reasonable Uranus orbiter (such as high spectral
674 resolution). In other cases, they provide a long temporal baseline of contextual imagery to show how the
675 uranian system evolves with time between spacecraft encounters.

676

677 Following well-established programmes of ground-based support for Galileo, Cassini, New Horizons and
678 Juno, the UP consortium will apply for a sequence of regular observations from a range of observatories in
679 the years preceding UOI. Observatories in the 8-10 m class (e.g., ESO/VLT, Subaru and Keck) could all
680 contribute to the growing database of observations of Uranus. We also envisage enlisting the capabilities of
681 the E-ELT (European Extremely Large Telescope), the ALMA sub-millimeter array and the TMT (Thirty Metre
682 Telescope), as and when they can be tested for their sensitivity to Uranus. These observations will provide
683 important contextual information for the UP mission and will extend UP's exploration beyond the nominal
684 mission lifetime as ground-based observers follow up on the key discoveries of the ESA UP mission.

685

686 UP will also operate in synergy with other missions which may be flying in the 2036 timeframe, including the
 687 successors to the visible and infrared space-based observatories of the coming decade (e.g., JWST,
 688 WFIRST), proposed US missions to the outer Solar System (e.g., Argo to Neptune/Triton), and missions in
 689 the inner heliosphere.

690

691

692

693 4. Proposed model scientific payload

694 The UP model scientific payload incorporates a focused set of high TRL (technology readiness level)
 695 scientific instruments with heritage from recent missions (e.g., Cassini, Rosetta, Mars Express, Dawn, New
 696 Horizons) and future missions (e.g., Juno, Laplace/EJSM). To aid in managing the demands of a resource-
 697 limited spacecraft such as UP the scientific payloads will be combined following the model set by Rosetta.
 698 Careful placement of scientific instruments will also aid in making the most use of particular spacecraft
 699 attitudes – for example we envisage that all the optical remote sensing (ORS) instruments will be placed on
 700 the same side of the spacecraft and approximately bore-sighted similar to New Horizons and the Cassini
 701 orbiter. Table 5 documents the scientific payload for UP and shows the rich European flight heritage of this
 702 payload and its high TRL. The requirements of these instruments for meeting the scientific goals (table 2) of
 703 UP are given in the traceability matrix in table 6. The total mass for these instruments, including appropriate
 704 design maturity margins ranging between 5 and 30%, is 62.6 kg and they draw 88.1 W when fully operating.
 705

Instrument	TRL	Heritage
Magnetometer (MAG)	9	Cassini/MAG, Double Star/MAG Rosetta/RPC Solar Orbiter
Plasma and Particle Science (PPS)	8 / 9	Rosetta/RPC-IES Cassini/CAPS-ELS New Horizons/PEPSSI THEMIS/SST
Radio and Plasma Wave Experiment (RPW)	8 / 9	Cassini/RPWS, STEREO/Waves, RBSP, Bepi-Colombo/MMO/PWI
Microwave radiometer (MWR)	7 / 8	Juno/MWR
Thermal Infrared Bolometer (UTIRM)	5	LRO/Diviner BepiColombo (detectors)
Visual and Near-Infrared Mapping Spectrometer (NIR/MSIC)	>5	New Horizons/RALPH Mars Express/OMEGA Juno/JIRAM Rosetta/VIRTIS

		Dawn/VIR Cassini/VIMS
Ultraviolet Imaging Spectrometer (UVIS)	>5	BepiColombo/PHEBUS Mars Express/SPICAM-UV Venus Express/SPICAV-UV Cassini/UVIS
Narrow Angle Camera (NAC)	>5	EJSM-JGO/HRC Mars Express/SRC New Horizons/LORRI
Radio Science Experiment (RSE)	9	Venus Express/VeRa Rosetta/RSI

706 **Table 5:** Model scientific payload for UP with TRL and heritage. A TRL of 5 indicates that the technology has
707 been tested in a simulated environment, a TRL of 7 indicates the availability of a prototype that is close to
708 the planned operational system, and a TRL of 9 indicates that the system in its final form has been used
709 under actual mission conditions.

710
711
712

713 5. Spacecraft key issues and technological developments

714 UP is compatible with existing mission platforms such as Rosetta and Mars/Venus Express (Gimenez et al.
715 2002; Ferri and Denis 2003) and will be built using this existing heritage. The critical issues that drive the
716 design of the spacecraft and mission are a) spacecraft mass, b) electrical power source, c) thermal control,
717 d) expected data volumes and bandwidth, and e) minimising costs during the cruise phase. In this section we
718 address these critical issues and some spacecraft design issues.

719
720

721 5.1. Electrical power

722 We conservatively estimate that powering UP using solar panels would require >700 m² of solar panels. With
723 the use of high specific energy lithium ion batteries and carefully designed operational scenarios this might
724 be reduced to ~500 m². This is not feasible within the M-class programme due to launch mass, low TRL for
725 low intensity low temperature (LILT) solar arrays, and operational complexity. Hence, UP requires electrical
726 power from radioactive power sources (RPS). This is the key technological development for UP and such
727 technology is already in development through ESA contracts. The development of a European RPS system
728 is driven by a) the costs of fuel production and the management of associated safety aspects, b) the
729 requirement that these devices be at TRL 5-6 (including launch safety) by 2015, c) thermal and physical
730 accommodation on a spacecraft, and d) operation for more than 15 years. These specifications make them
731 viable candidates for UP.

732

733 In terms of radioisotopes, ²³⁸Pu and ²⁴¹Am are the best candidates, although ²⁴¹Am produces around a
734 quarter of the thermal energy per unit mass of ²³⁸Pu. This difference in efficiency must be managed at a

735 system level which implies that a Stirling-type converter must be used for a ^{241}Am -based device. Am_2O_3 has
736 been selected as the baseline for a European RPS as it is a waste product from nuclear reactors and is in
737 plentiful supply in France and the United Kingdom. Thus the availability of ^{241}Am will not be a barrier to the
738 use of an ESA RPS on UP. Should this programme fail to produce a viable RPS unit in time for the M3
739 programme our mitigation strategy is to use a NASA-provided Multi-Mission Radioisotope Thermoelectric
740 Generator (MMRTG) or Advanced Stirling Radioisotope Generator (ASRG) device to power the spacecraft
741 and scientific payload. Our power and mass budgets allow for this eventuality, and the switch to this
742 alternative power source does not present a mission-critical issue, nor does not affect the ability of the
743 mission to carry out its scientific programme. As noted above, the specific power of an ^{241}Am -based device is
744 less than that of a ^{238}Pu -based device because the specific thermal power of ^{238}Pu is four times that of
745 ^{241}Am . Also, ^{241}Am is a more prodigious neutron and gamma ray emitter than ^{238}Pu thus requiring more
746 shielding mass. Hence, the use of a ^{241}Am device represents a “worst case” scenario in terms of specific
747 electrical power; switching to an alternative MMRTG or ASRG device represents a gain in platform/payload
748 mass and available electrical power.

749

750

751 **5.2. Thermal control**

752 Thermal control is an important driver of every mission and UP is no exception; for UP this is challenging due
753 to extreme differences in thermal environment between Venus and Uranus and the continuous supply of
754 thermal energy from RPS units. Such thermal control issues can be adequately managed by modifying
755 existing designs from Rosetta and Mars/Venus Express. Established combinations of heaters, radiators and
756 louvers will enable these thermal issues to be addressed. We have estimated that ~45 W will be required to
757 maintain an internal spacecraft temperature of -10°C against losses to space. This estimate is based on a
758 spacecraft of similar size to Mars Express covered with multi-layer insulation (MLI). We do not assume that
759 this power can be derived from dissipation of heat from internal equipment and include 45 W in the power
760 budget for electrical heaters (in addition to instrument heaters). Efficient mission operations will ease these
761 demands. Shunt resistors to manage the power from the RPS units can be externally or internally mounted
762 to help heat the spacecraft. Spot heating might be provided by radioactive heating units (RHU), potentially
763 based on ^{241}Am .

764

765

766 **5.3. Planetary protection**

767 Planetary protection requirements are less stringent at Uranus permitting the use of existing spacecraft bus
768 designs (e.g., Rosetta, Mars Express). Uranus is listed as Class II for planetary protection purposes and so
769 the study phase only requires mission analysis and design to minimise the risk of a collision between the
770 orbiter and any sites of potential prebiotic interest, such as the moons Titania and Oberon.

771

772

Uranus Pathfinder – Arridge et al.

Theme	Science goal	NAC	UVIS	NIR/ MSIC	UTIRM	MWR	MAG	PPS	RPW	RSE
Uranus as an ice giant planet	What is the internal structure and composition of Uranus?			2		1	1			1
	Why does Uranus emit very little heat?		2	2	1	1	3			2
	What is the configuration and origin of Uranus' highly asymmetric magnetic field?						1	2	3	
	What is the rotation rate of Uranus?	2	2		3		1	3	1	
	How is Uranus' weather structure and composition influenced by its unique seasons?	1	2	1	1	1				2
Uranus' ice giant planetary system	What processes shape atmospheric chemistry and cloud formation on an ice giant?	2	2	2	1	3				
	What is the composition of the uranian rings?		2	1						
	How do dense rings behave dynamically?	1	3	2						2
	How do Uranus' dusty rings work?	1						3	2	
	How do the rings and inner satellites interact?	1	2	1						
	What is the nature and history of Uranus' moons?	1	2	1			1	2	2	1
	What is the overall configuration of the uranian magnetosphere		1	2			1	1	1	
	How does magnetosphere-ionosphere-Solar Wind coupling work at ice giants?		1	2			1	1	1	2
	How are auroral radio emissions generated at ice giants?		2	2			1	2	1	3

Table 6: Traceability matrix showing how each instrument in the Uranus Pathfinder model payload maps to the key science questions for the science case presented in section 2. The numerical code indicates the importance of that particular instrument in answering each scientific question where (1) indicates a Tier 1 (essential instrument), (2) a Tier 2 instrument (could make important contributions), and (3) a Tier 3 instrument (would add useful information).

773 **5.4. Radiation constraints**

774 Uranus has a fairly benign radiation environment (compared to Jupiter) and has radiation belts of roughly the
775 same intensity as Saturn but which are less intense than at Earth. SPENVIS (SHEILDDOSE-2) was used to
776 estimate a total mission radiation dose of 20 kRad behind 4 mm of Al. Most of this dose comes from the
777 cruise phase (18 kRad) and was estimated from near-Earth interplanetary space. The radiation dose per
778 orbit of Uranus (0.2 kRad) was estimated from terrestrial radiation models with the UP orbits scaled down by
779 the relative planetary sizes. This gives a dose of 2 kRad for the prime mission of 10 orbits.

780

781

782 **5.5. Attitude and orbit control**

783 Attitude and orbit control (AOCS) for UP will be achieved by a combination of thrusters and reaction wheels
784 with solid heritage from Mars/Venus Express and Rosetta. During cruise phase the spacecraft will be spin
785 stabilised to minimise deterioration of the reaction wheels and simplify operations. During the prime mission
786 UP will be three-axis stabilised using a combination of reaction wheels and thrusters. Three-axis stabilisation
787 is required for the relatively long integration times required by ORS instruments. The use of RPS units for
788 electrical power gives UP a low inertia compared to a spacecraft using solar arrays thereby allowing UP to
789 slew rapidly to view multiple targets.

790

791

792 **5.6. On-board data management**

793 On-board processing will be restricted due to mission mass and power constraints but each instrument,
794 particularly those that operate in a survey mode such as the magnetometer, will have some intelligent
795 processing capability able to retain interesting data at a higher cadence than nominal. The estimated data
796 volumes total 4.1 Gbit per orbit. On-board storage of data on SSRs for downlink at a later date is common
797 amongst deep space missions and UP will use solutions similar to Venus Express and Rosetta; UP will have
798 12 Gbit of on-board capacity in three 4-Gbit SSR modules, facilitating redundancy in case of the failure of a
799 module. UP can downlink 75 Mbit per day (table 4). Over a 60-day orbit, where downlinking only occurs on
800 56 days to account for periapsis science operations, 4.2 Gbit can be downlinked exceeding the demands of
801 the scientific payload. The mission would still be viable if two of the three SSRs failed.

802

803

804 **5.7. System budgets**

805 Our estimate of the available power from two RPS units is 192 W including margins. During downlink
806 manoeuvres we estimate that the platform draws 162 W whilst nominally drawing 132 W. Clearly this
807 requires significant observation planning and resource management since the full scientific payload draws 88
808 W. The total dry mass for UP (including all margins) evaluated to 836 kg and meets the launch capability of
809 Soyuz-Fregat with an 8% margin. The overall system configuration was designed around a Mars Express-
810 type platform and so it is not entirely unexpected that the total dry mass is very similar to that for Mars

811 Express. This clearly shows that important and distant Solar System targets can be reached by a Soyuz-
812 Fregat launch vehicle.

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816 **6. Communications and outreach**

817 A European mission to a mysterious and distant world like Uranus provides a unique public engagement
818 opportunity. Pictures of distant bodies in the Solar System capture the public's imagination and attract school
819 children and higher-level students to physics and astronomy. Planetary research also continues to grab
820 headlines in the press, both in traditional print and new media. Uranus' moons are named after literary
821 characters from the works of William Shakespeare and Alexander Pope, providing a particularly exciting
822 opportunity to engage with a wider community than any previous mission by exploiting this link to the arts.
823 We envisage a range of activities, particularly in schools and linked to national educational curricula.

824

825 Europe has extensive expertise and experience in delivering an outreach programme centred on giant
826 planets through the ESA-NASA Cassini-Huygens mission. The UK in particular has had many successes in
827 engaging the public in Cassini-Huygens through programmes organised through the Royal Observatory,
828 Greenwich and the Royal Astronomical Society, and also recently in a variety of activities related to the ESA
829 Herschel mission. Outreach in the amateur astronomy community would also enable interesting and
830 potentially valuable "citizen science projects". The outreach team will also utilise links with national public
831 engagement stakeholders (e.g., Germany Physical Society, Royal Society, European Space Education and
832 Research Office).

833

834 The long duration of the UP mission provides an excellent public engagement opportunity in which school
835 children "Pathfinder kids" can follow the mission developments as they proceed through their classes
836 learning ever-more details about planetary exploration and the processes occurring therein. Special public
837 engagement campaigns centred around key mission milestones such as the gravity assists and UOI will
838 maintain public interest and awareness. This also provides a perfect example for showing the public the
839 length of space missions necessitated by the enormous scale of the solar system, but also the resulting
840 ambitious goals that can be achieved.

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844 **7. Conclusions**

845 Uranus is an enigmatic world of extremes, a key component of our Solar System that the Cosmic Vision
846 should seek to explore and explain if we hope to understand the origins, evolution and fundamental
847 physicochemical processes, both in our planetary system and in those around other stars. Exploring the
848 physical processes at work within our Solar System will provide insights into its formation and evolution,
849 helping to answer scientific questions of the highest importance, including some of the main objectives of
850 ESA's Cosmic Vision 2015-2025. A mission to the Uranus system directly addresses important aspects of

851 two of the Cosmic Vision themes: “What are the conditions for Planet Formation and the Emergence of Life?”
 852 and “How Does the Solar System Work?” Furthermore, in addressing the origins and evolution of Uranus-
 853 mass objects we directly address topics that are important for current and future exoplanet research. The
 854 use of a comprehensive but focused suite of advanced scientific instrumentation on a robust ESA orbiter,
 855 with significant flight heritage from Rosetta, Mars/Venus Express and Bepi-Colombo, will provide significant
 856 potential for new discoveries and solutions to unresolved questions on the frontier of the outer Solar System.

857
 858 UP can be implemented effectively using existing spacecraft platforms such as Mars Express/Rosetta but
 859 can also significantly drive technology developments such as European capability in radioisotope power and
 860 heat sources. Similar to any space mission, UP obviously benefits from international collaboration. In the
 861 case of UP this would enable a larger mission, shorter interplanetary transfer, the possibility for an
 862 atmospheric descent probe, and the leverage of international expertise which is naturally spread across the
 863 globe. The UP Consortium contains the complete body of expertise for successful exploitation of an Ice
 864 Giant orbiter mission. The UP mission concept reveals how much can be achieved within the ESA “medium-
 865 class” mission cost cap and demonstrates the heights to which ESA’s Cosmic Vision can and should reach.

866
 867 Although UP has not been selected for the assessment phase for the M3 programme a Uranus mission has
 868 been highly rated by the 2011 NRC Planetary Decadal Survey 2013-2023 with a Uranus “flagship” class
 869 mission rated in third priority. Future European opportunities will be exploited should a NASA-led Uranus
 870 mission not be selected. The UP mission concept and science case demonstrates the need to explore the
 871 outer Solar System and the technical challenges which that entails. Technological advances in the fields of
 872 low-mass instrumentation, solar power, radioisotope power sources, and ion propulsion will enable such
 873 missions to be carried out whilst lowering risk and cost.

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877 **8. Acronyms**

878	ALMA	Atacama Large Millimetre Array
879	AOCS	Attitude and Orbit Control
880	ASRG	Advanced Stirling Radioisotope Generator
881	CMI	Cyclotron Maser Instability
882	CSDS	Cluster Science Data System
883	E-ELT	European Extremely Large Telescope
884	EJSM	Europa Jupiter System Mission
885	ESA	European Space Agency
886	ESO	European Southern Observatory
887	ESOC	European Space Operations Centre
888	GTO	Geostationary Transfer Orbit
889	HGA	High Gain Antenna
890	IRTF	Infrared Telescope Facility
891	ISO	Infrared Space Observatory

892	JWST	James Webb Space Telescope
893	LILT	Low Intensity Low Temperature
894	MAG	Magnetometer (UP Instrument)
895	MMRTG	Multimission Radioisotope Thermal Generator
896	MWR	Microwave Radiometer (UP Instrument)
897	NAC	Narrow Angle Camera (UP Instrument)
898	NIR/MSIC	Visual and Near-Infrared Mapping Spectrometer and Multispectral Imaging Camera (UP
899	Instrument)	
900	ORS	Optical Remote Sensing
901	PDS	Planetary Data System
902	PI	Principal Investigator
903	PPS	Plasma and Particle Science (UP Instrument)
904	PSA	Planetary Science Archive
905	RPS	Radioactive Power Source
906	RPW	Radio and Plasma Wave Experiment (UP Instrument)
907	RSE	Radio Science Experiment (UP Instrument)
908	SSR	Solid State Recorder
909	TMT	Thirty Metre Telescope
910	TRL	Technology Readiness Level
911	UKR	Uranus Kilometric Radiation
912	UOI	Uranus Orbit Insertion
913	UP	Uranus Pathfinder
914	UPMOC	Uranus Pathfinder Mission Operations Centre
915	UPQL	Uranus Pathfinder Quicklook
916	UPSOC	Uranus Pathfinder Science Operations Centre
917	UTIRM	Thermal Infrared Bolometer (UP Instrument)
918	UVIS	Ultraviolet Imaging Spectrometer (UP Instrument)
919	VLA	Very Large Array
920	VLT	Very Large Telescope
921	WFIRST	Wide-Field Infrared Survey Telescope

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932 **10. References**

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1094 **11. Appendix A**

1095 The 165 individuals (109 in Europe, in 67 institutes in 13 countries) listed below support the UP mission.

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- 1200 *University College London*
- 1201 Nicholas Achilleos, Chris Arridge, Andrew Coates, M. Entradas, Andrew Fazakerley, Colin Forsyth, A.
- 1202 Dominic Fortes, Patrick Guio, Geraint H. Jones, Sheila Kanani, Gethyn R Lewis, Steve Miller, Adam
- 1203 Masters, Chris Owen, Alan Smith, Andrew P. Walsh
- 1204 *University of Bristol*
- 1205 Nick Teanby
- 1206 *University of Leicester*
- 1207 David Andrews, Emma Bunce, Stanley W H Cowley, Stephanie Kellett, Henrik Melin, Steve Milan, Jon
- 1208 Nichols, Tom Stallard
- 1209 *University of Liverpool*
- 1210 Richard Holme
- 1211 *University of Oxford*
- 1212 Neil Bowles, Leigh Fletcher, Pat Irwin
- 1213 *University of Reading*
- 1214 Matt Owens
- 1215
- 1216 **United States of America**
- 1217 *Boston University*
- 1218 Supriya Chakrabarti, Luke Moore
- 1219 *Cornell University*
- 1220 Don Banfield, Matt Hedman, Matthew Tiscareno, Phil Nicholson
- 1221 *Georgia Tech*
- 1222 Carol Paty
- 1223 *Gordon College*

1224 Richard W. Schmude, Jr.
1225 *Johns Hopkins University - APL*
1226 Pontus Brandt, Andrew Cheng, Chris Paranicas, Abigail M Rymer, H. Todd Smith, Elizabeth P Turtle
1227 *LPI, University of Arizona*
1228 Robert H Brown, Paul Schenk
1229 *NASA Goddard Space Flight Centre*
1230 Carrie M Anderson, Matt Burger, Glyn Collinson, John F Cooper, Brigette Hesman, Edward C Sittler
1231 *NASA Jet Propulsion Laboratory*
1232 Kevin Baines, A. Jim Friedson , Mark Hofstadter, Conor Nixon, Jim Norwood, Glenn Orton, Robert T
1233 Pappalardo, Ed Smith
1234 *New Mexico State University*
1235 Reta Beebe, Nancy Chanover
1236 *Rice University*
1237 Tom Hill
1238 *SETI Institute*
1239 Mark Showalter
1240 *Southwest Research Institute, San Antonio*
1241 Scott Bolton, Mihir Desai, Dave McComas, Prachet Mokashi, Daniel Santos-Costa
1242 *Space Science Institute (Boulder)*
1243 Julianne Moses
1244 *University of California, Berkeley*
1245 Imke de Pater
1246 *University of California Los Angeles*
1247 Jerry Schubert, Ravit Helled, Chris Russell, Krishan Khurana, Margaret Kivelson, Kunio Sayanagi
1248 *University of California Santa Cruz*
1249 Jonathan Fortney
1250 *University of Colorado, Boulder*
1251 Sébastien Hess, Rob Wilson
1252 *University of Iowa*
1253 Jared Leisner, William Kurth, Patricia Schippers, Ulrich Taubenschuss
1254 *Washington University*
1255 Bill McKinnon

1256
1257

1258 **Figure Captions**

1259 **Fig. 1:** Illustration showing the rich variety of science goals for the UP mission: variety of natural satellites,
1260 complex ring system, highly asymmetric magnetic field and magnetosphere, atmosphere and interior. The
1261 white arrow indicates the spin axis of Uranus whereas the red arrow indicates the magnetic dipole axis. The
1262 orbits of the five major satellites are shown in blue with magnetic field lines in yellow

1263

1264 **Fig. 2:** Illustrations showing (a) a model of Uranus' interior that is consistent with the gravity and magnetic
 1265 field data but not with Uranus' low luminosity (Nettelmann, private communication); (b) the configuration of
 1266 Uranus' internal magnetic field (Ness et al. 1986).

1267

1268 **Fig. 3:** Model of Uranus' interior compared with Uranus' appearance at multiple wavelengths, sensitive to
 1269 reflection and scattering of reflected sunlight from uranian clouds and aerosols (first three are short-
 1270 wavelength images from Voyager 2 (a), HST (b) and Keck (c)), and to thermal emission from atmospheric
 1271 gases at longer wavelengths (last two images from the VLA (d) and VLT (e)). Although Uranus appeared
 1272 relatively tranquil in images obtained by Voyager 2 due to obscuring tropospheric hazes, multi-wavelength
 1273 imaging at longer wavelengths demonstrate the wide range of discrete cloud activity and the distributions of
 1274 gaseous opacity sources on the Ice Giant. Credits: (a) NASA/JPL; (b) E. Karkoschka (University of Arizona,
 1275 USA), Hubble Space Telescope and NASA; (c) H. Hammel (Space Science Institute, Boulder, USA), I. de
 1276 Pater (University of California Berkeley, USA), W.M. Keck Observatory; (d) G. Orton (NASA JPL); (e) M.
 1277 Hofstadter (NASA JPL).

1278

1279 **Fig. 4:** This composite image of Uranus' main rings in forward-scattered (left) and back-scattered (right) light
 1280 shows that a network of dust structures is interleaved among the planet's dense main rings. The offset in the
 1281 ϵ ring is due to its eccentricity. As the left-hand image is the only high-phase image ever taken of Uranus'
 1282 rings (by the post-encounter Voyager 2), the detailed workings of the dust structures remain largely
 1283 unknown. Credit: NASA/JPL.

1284

1285 **Fig. 5:** Voyager 2 images of the five largest moons of Uranus. Voyager passed closest to the innermost of
 1286 these satellites and so the imaging resolution is best at Miranda, while Titania and Oberon were not imaged
 1287 at sufficiently high resolution to resolve details of tectonic structures (Credit: Paul Schenk).

1288

1289 **Fig. 6:** (Left) H_2 band emission map showing auroral intensity, ranging between 0-450 Rayleighs, for both
 1290 aurorae, overplotted on the mapped magnetospheric distances from the planet as L-shells in steps of 2
 1291 (Herbert, 2009). (Right) Source regions inferred for the most intense UKR component (Zarka and
 1292 Lecacheux, 1987).

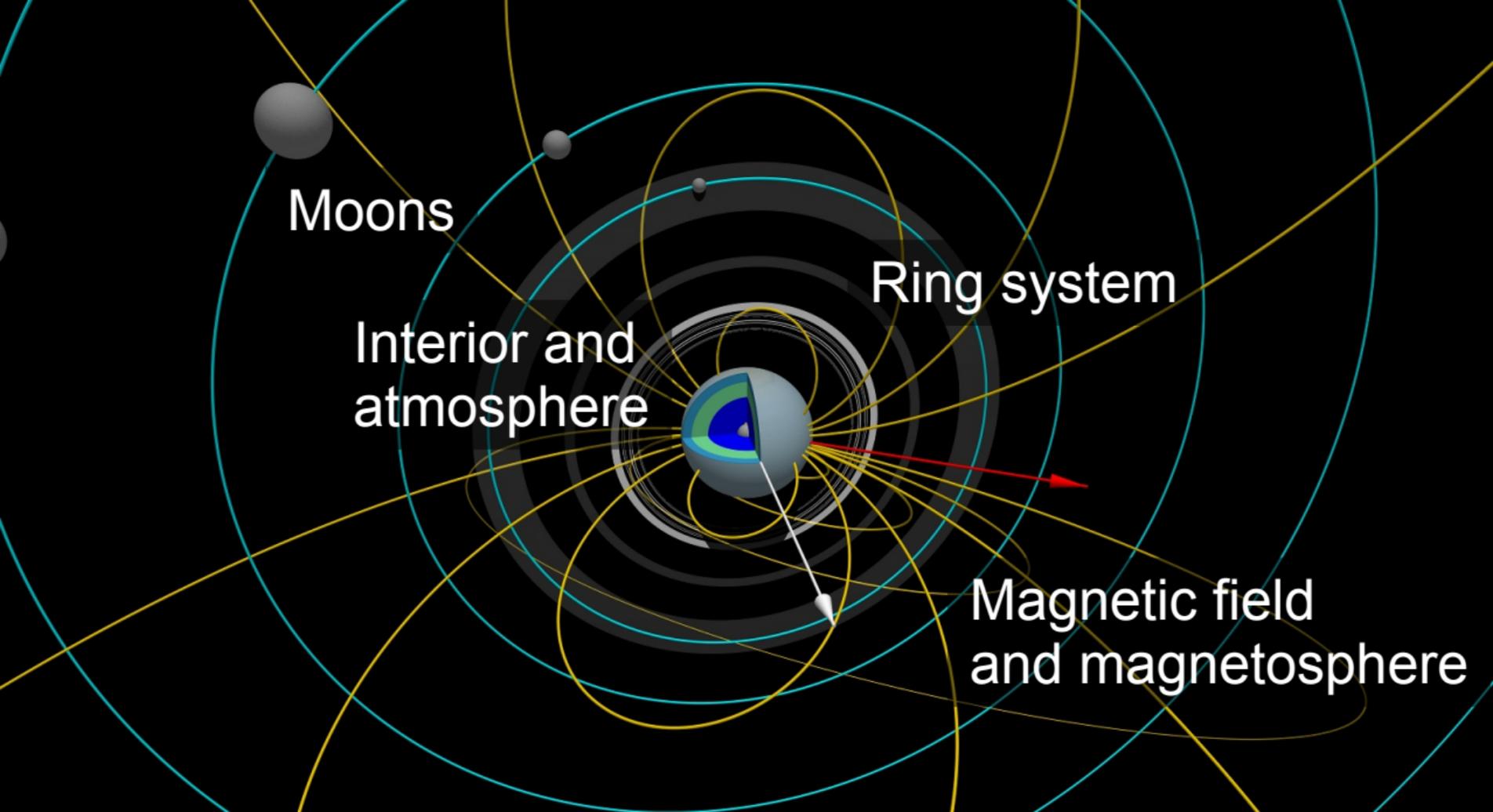
1293

1294 **Fig. 7:** Example trajectory for UP.

1295

1296 **Fig. 8:** Ground segment for UP.

1297

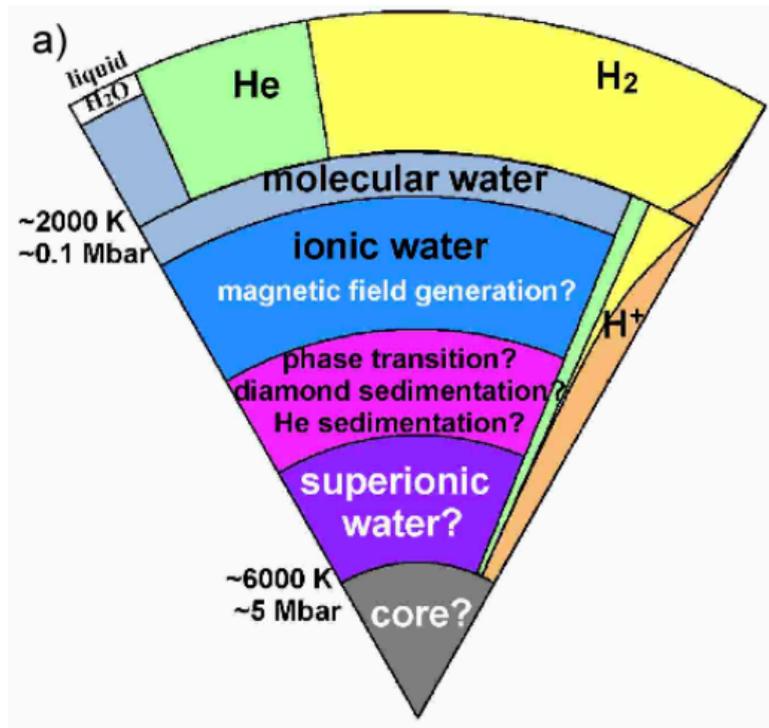


Moons

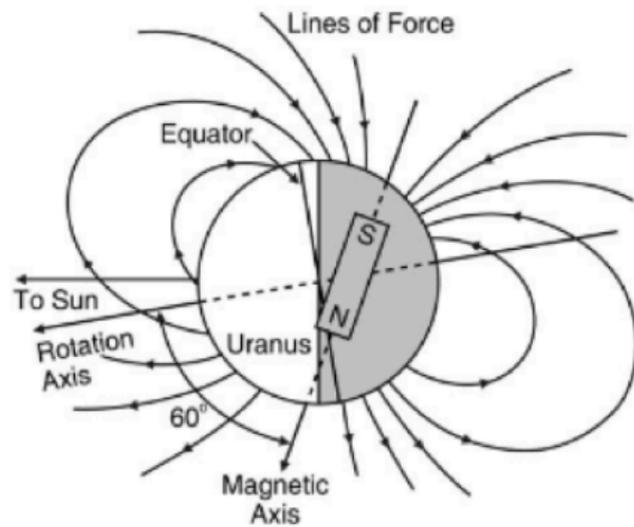
Interior and atmosphere

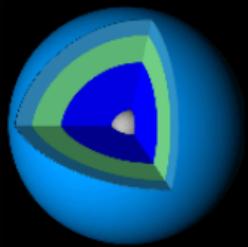
Ring system

Magnetic field and magnetosphere

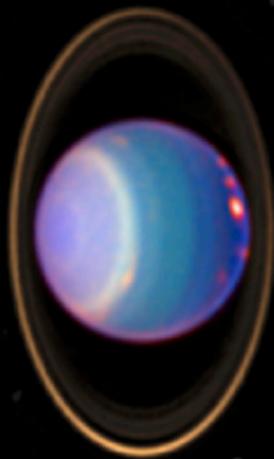


b)

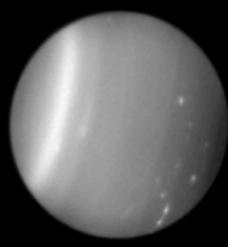




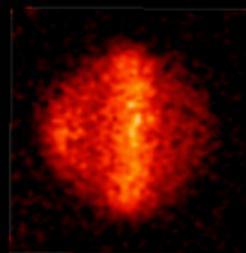
Visible
[Voyager 2]



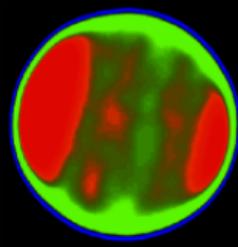
Near IR [HST]



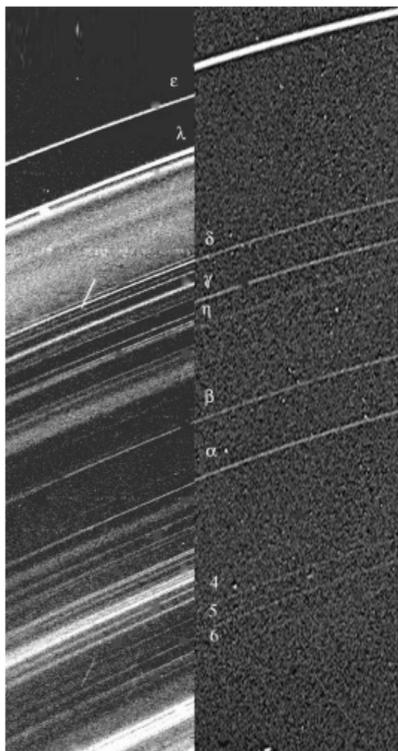
Near IR [Keck]



Thermal IR
[VLT]



Microwave
[VLA]





Miranda



Ariel



Umbriel



Titania



Oberon

